

ESF THEMATIC NETWORK ON SIMPLIFICATION

REPORT ON SCO PRACTICES

(Draft for the 6th Meeting of the Thematic Network - The Hague – 8-9 June, 2017)

References:

Member State / Region	Netherlands
Reference number (TN's map of SCO practices)	n.a. (Sco on TA)

Contact details:

Name of the Institution	Agency of the Ministry of Social Affairs and Employment
Address	Rijnstraat 50, 2515 XP The Hague
Name of contact person	Gerard Slotema
Telephone	+31 70 315 21 02 / +31 6 11 32 37 53
Email	gslotema@minszw.nl

Type of SCO reported <i>(Please choose one of these types of SCO: flat rate financing, standard scale of unit costs, lump sum).</i>	LUMP SUM
Type of activities covered by the SCO <i>(Please indicate what type of activities is targeted by the SCO).</i>	<i>Cover the costs of technical assistance</i>

A. PROJECT DESCRIPTION

A1. Description of the type of operation <i>(Please describe in brief what types of operations are covered by SCO)</i>
Technical assistance will primarily be used for covering the costs of the Managing authority. Its primary tasks are broken down into two categories: 1. tasks relating to the allocation of grants to the institutions applying for them and the verification of a lawful implementation by these beneficiaries; 2. tasks in relation to the information supply relating to the performance of the ESF and support to the Ministry of SZW, the European Commission and other European institutions. A lawful implementation of the ESF programme will be promoted along three lines:

(1) using a grant scheme that is as simple and transparent as possible, 2) promoting the use of simplified cost options at the level of the beneficiary and (3) overall control: the Managing Authority(MA) will check all projects for which ESF is declared (100% management verifications).

A2. Definition of outputs/results *(Please give a short definition of outputs and/or results)*

Each payment claim a lump sum of € 5.690 per € 100.000 is included in the payment claim will be claimed to cover for costs for technical assistance

A3. Beneficiaries *(please indicate the types of beneficiaries involved in the operations covered by SCO)*

MA

A4. Target group(s) *(Please list target groups within projects covered by SCO)*

EC

B. METHODOLOGY AND CALCULATION METHOD

B.1 Methodology *(please indicate which methodology/ies has/have been used: Fair, equitable and verifiable method / use of existing EU schemes for similar types of operation and beneficiary / Use of existing own national schemes for similar types of operations and beneficiaries / use of rates and specific methods enshrined in the regulation or in a delegated act / use of a draft budget /combination of methodologies).
Please indicate if the concerned SCO has been covered by Art. 14(1) ESF)*

The lump sum for executing the ESF programme

Total ESF budget for Technical Assistance (TA) for The Netherlands: € 507,318,228 * 4% = € 20,292,729. This ESF amount is needed to cover for the cost of TA. Based on the previous period the declared cost for technical assistance are 5.69% of the total programme budget. So each payment claim a lump sum of € 5.690 per € 100.000 is included in the payment claim to cover the costs of technical assistance until the maximum total ESF amount of TA is reached.

B.2 Calculation Methods *(please describe how the calculations have been made)*

B.3 Data source *(please indicate the type of data used and the data source)*

The calculations of a lump sum of € 5.690 per € 100.000 for technical assistance were based on the payment claims submitted to the COM. In these claims only verified and certified costs have been included. The payment claim is also being audited by the AA.

C. IMPLEMENTATION OF SCO

(Please describe in brief what implementation rules and conditions have been set out)

Each payment claim an amount of Technical assistance is claim according to the DA Article 14 (1). (ones this DA is implemented)

D. AUDIT TRAIL

(Please provide a brief description of the audit trail for the concerned SCO, including documents, key contents/conditions and procedures)

- Every year the MA is required to report to the EU about the implementation and the progress of the ESF programme. This report is based on regulation (EU) 1303/2013 article 50 and 111 and regulation (EU) 1001/2014 article 2 and regulation 2015/207 article 5;

- In the annual implementation report the MA reports to the COM on the (financial) progress of the ESF programme and the results based on the specific indicators of the operational programme. The MA also reports on the implementation requirements included in the CPR (Regulation (EU) No. 1303/2013) and the ESF regulation (Regulation (EU) No. 1304/2013);
- This way the COM can follow the progress of the implementation of the ESF programme and can assess if the necessary implementation tasks have been fulfilled (i.e. meaning that costs of TA have been incurred).
- Each payment claim has been certified by the CA and will be audited by the AA.

E. ASSESSMENT BY THE AUDIT AUTHORITY(IES) INCLUDING EVENTUAL EX ANTE ASSESSMENT

(If relevant, please explain how the audit authority has been involved in preparation and assessment of the SCO).

The audit authority is involved in the process of developing this DA by means of the weekly progress meeting. In this weekly progress meetings the MA and the AA discussed the development and arrangements of this DA together with a representative of The Ministry of Social Affairs and Employment. The audit authority agrees with proposed method. The real costs for technical assistance have been and will be much higher than the costs included in the payment claim.

F. IMPACT OR ADDED VALUE FOR THE MA, BENEFICIARIES AND OTHER STAKEHOLDERS

(Please explain how you have perceived impact/added value of the SCO for the MA, beneficiaries and other stakeholders).

For the MA, AA, EC nog more verificaction and auditing of costs of technical assistance

G. SPECIFIC ISSUES FACED WITHIN CALCULATION AND IMPLEMENTATION OF THE SCO

(Please indicate any issues/problems/challenges you have experienced when setting out or implementing the SCO, i.e. high workload, state aid, public procurement, national legislation, revenues etc.)

H. LESSONS LEARNED AND POINTERS

(Please indicate any unforeseen practical implications that have emerged and any key lessons you have learned from the development and implementation of the concerned SCO practice).

I. ANNEXES OR LINKS TO ONLINE DOCUMENTS

(Please list documents or links to online documents like complete methodology, calculation methods, documents submitted to the Commission, guidance for MAs and beneficiaries, calls for proposals, audit trail, legal acts etc.).